

Tri-Agency Open Access Policy on Publications

June 2015



Canadian Institutes of
Health Research

Instituts de recherche en
santé du Canada

Natural Sciences and Engineering
Research Council of Canada

Conseil de recherches en sciences
naturelles et en génie du Canada

Social Sciences and Humanities
Research Council of Canada

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What is Open Access?

- Open Access (OA) is the practice of providing free and unrestricted online access to research publications and data.
- Funding agencies worldwide have been implementing OA policies.



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Benefits of OA



CC-BY Danny Kingsley & Sarah Brown



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Green vs. Gold OA

Green OA

- Authors deposit their final peer-reviewed manuscript in an institutional or disciplinary repository.
- Authors often follow this route when the journal they publish in is not open access.
- Publishers that allow for repository deposit often set embargo periods.

Gold OA

- Authors publish their article in an OA journal where they are freely available to the public.
- There may be an article processing charge (APC) for the author.



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International OA Policies

	Australia	EU	EU	France	Germany	UK	US	US
Agency	Australian Research Council	European Research Council	Horizon 2020	Agence Nationale de la Recherche	DFG	Research Councils UK	NIH	NSF
Primary Approach	Green	Gold and Green	Green	Green	Gold and Green	Gold Preferred	Green	Green
Scope	All publication outputs including books	Research papers and monographs	Peer reviewed publications	All publications	All works resulting from DFG funds	Peer-reviewed journal publications, conference proceedings	Peer-reviewed articles	Peer reviewed articles
Embargo Period	12 months	6 months	6 months (STEM) 12 months (SSH)	Dependent on the journal's policy	12 months	Standard: 6 months STEM / 12 months SSH. (12 months STEM / 24 months SSH is acceptable "where funding for APCs is not available")	12 months	12 months



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The Tri-Agency Open Access Policy on Publications

Grant recipients are required to ensure that any peer-reviewed journal publication arising from Agency-supported research is freely available within 12 months of publication.



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Policy Compliance

Grantees can comply through the following routes:

1. **Online Repositories:** Grant recipients can deposit their final, peer-reviewed manuscript into an institutional or disciplinary repository that will make the manuscript freely accessible within 12 months of publication.
2. **Journals:** Grant recipients can publish in a journal that offers immediate open access or that offers open access on its website within 12 months.

Note: The two routes are not mutually exclusive.



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Policy Scope

- Applies to all grants awarded May 1, 2015 and onward.
- Does not apply to scholarship and fellowship awards.
- The Agencies encourage all researchers to adopt the policy as a best practice for all their research outputs to increase the dissemination of research findings.



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What is different for CIHR-supported researchers

- CIHR-Supported researchers have to comply with OA requirements pertaining to **data**
 - At this time, NSERC- and SSHRC-supported researchers are not required to archive or make their data openly accessible.
- CIHR's policy has been in place since 2008 and remains mandatory.



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Toolbox

The screenshot shows the Science.gc.ca website interface. At the top, there is a navigation bar with the Government of Canada logo and text in both English and French, along with links for Canada.ca, Services, Departments, and Français. Below this is a blue header with the Science.gc.ca logo, a red maple leaf, and the Canada wordmark. A search bar is located on the right side of the header. The main navigation menu includes Partnerships and Projects, Science Links, Science News, and Educational Resources. The breadcrumb trail reads: Home > Research Funding Collaboration > Policies and Guidelines > Open Access > Toolbox. The left sidebar contains a list of links under the heading 'Partnerships and Projects', including 'Directory of Scientists and Professionals', 'Canadian High Arctic Research Station', 'Northern Contaminants Program', 'Health Canada: Centre for Vaccine Evaluation', 'Science and Technology Data', 'Research Funding Collaboration', 'Co-operative Funding Programs', 'Policies and Guidelines', 'Open Access', 'Tri-Agency Open Access Policy on Publications', 'Annex', and 'Frequently Asked Questions'. The main content area is titled 'Toolbox' and features a sub-heading 'Repositories' with a list of links: 'Canadian Institutional Repositories', 'Directory of Open Access Repositories', and 'Erudit'. Below this is another sub-heading 'Open Access Resources and Directories' with a list of links: 'Association science et bien commun', 'Bielefeld Academic Search Engine (BASE)', 'Canadian Association of Research Libraries (CARL)', 'CARL/ SPARC Brochure and Canadian Author Addendum', 'Directory of Open Access Journals (DOAJ)', 'Directory of Open Access Repositories (OpenDOAR)', 'SHERPA/JULIET', and 'SHERPA/RoMEO'.



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Repositories

- A digital collection of an organization's intellectual output.
- Centralize, preserve, and make accessible the knowledge generated by academic institutions.
- Several university libraries welcome articles from researchers whose home institutions do not maintain repositories.

>> *More information: [adoptive repositories](#)*



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Journals and Repository deposit

- A study of the 100 largest journal publishers by article output suggests 80% of all articles published in 2010 could have been made available through a repository after 12 months of publication at no cost.
- The [SHERPA/RoMEO](#) database is a useful resource for locating publishers' copyright and editorial policies.
- There are currently more than 10,000 OA journals listed in the [Directory of Open Access Journals](#). Although many of these journals charge APCs.



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Copyrights and Author Addendums

- It is up to each researcher to determine which copyrights are appropriate to apply to their work.
- For journals that do not allow archiving of peer-reviewed manuscripts, the Agencies encourage authors to retain key rights through the use of a publication addendum (e.g. [SPARC Canadian Author Addendum](#))
- We suggest you speak with the research office at your university to help guide you in choosing the appropriate copyrights to apply to your publication.
- The [Creative Commons website](#) provides information on important features of each license.



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Impact on Grant Funds

- The policy allows for flexibility in compliance routes
- Many journals allow for depositing in a repository at no cost
- APCs are an eligible use of grant funds
- Contact your university library as many universities have author funds to cover the costs of APCs.



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Resources

- Online (science.gc.ca)

- [Policy](#)
- [Annex](#)
- [FAQs](#)
- [Toolbox](#)

- For more OA support:

CIHR: access@cihr-irsc.gc.ca

NSERC: openaccess@nserc-crsng.gc.ca

SSHRC: openaccess@sshrc-crsh.gc.ca



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Questions?



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